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# Hitachi-JHU System

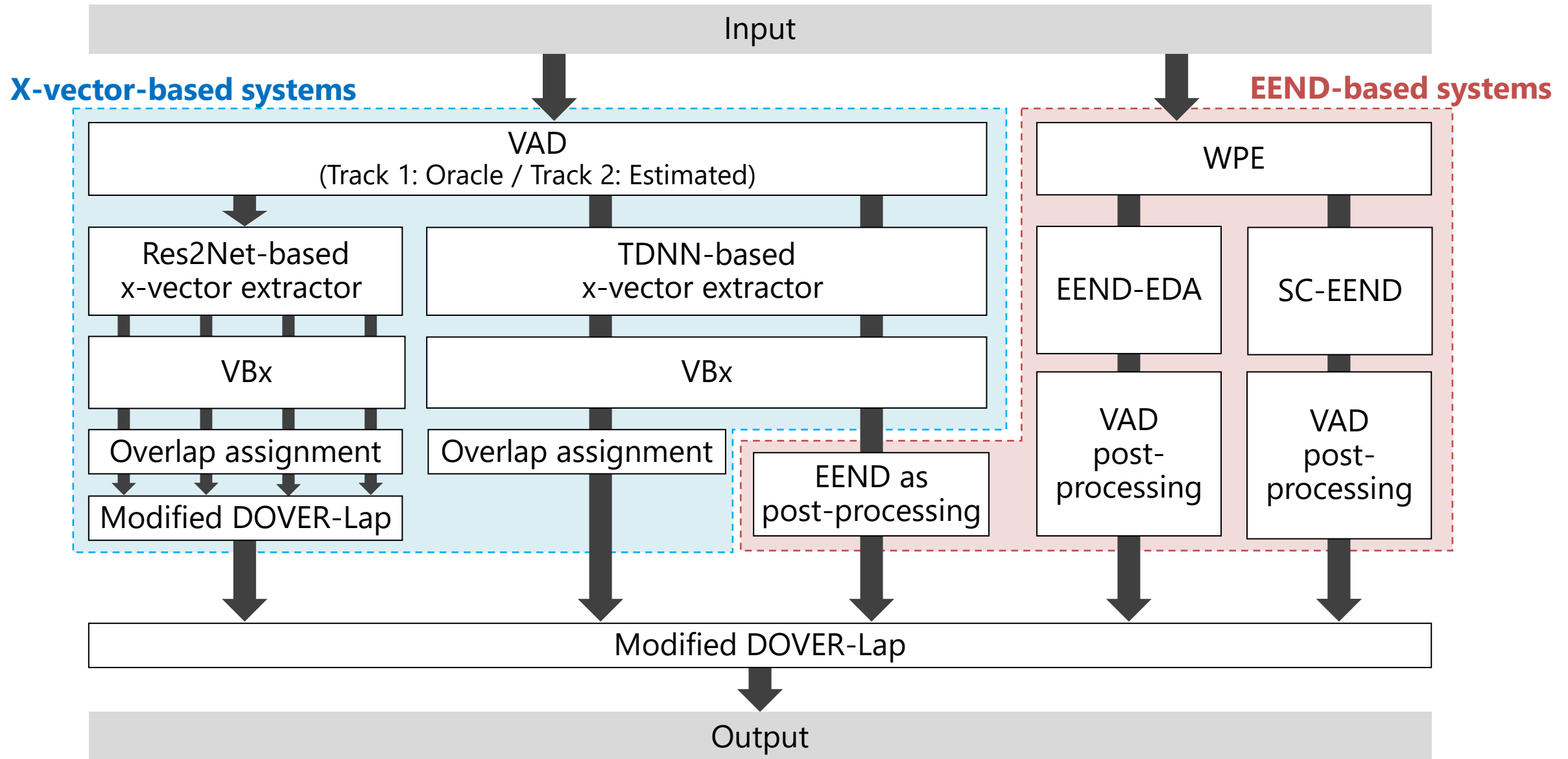
## for the Third DIHARD Speech Diarization Challenge

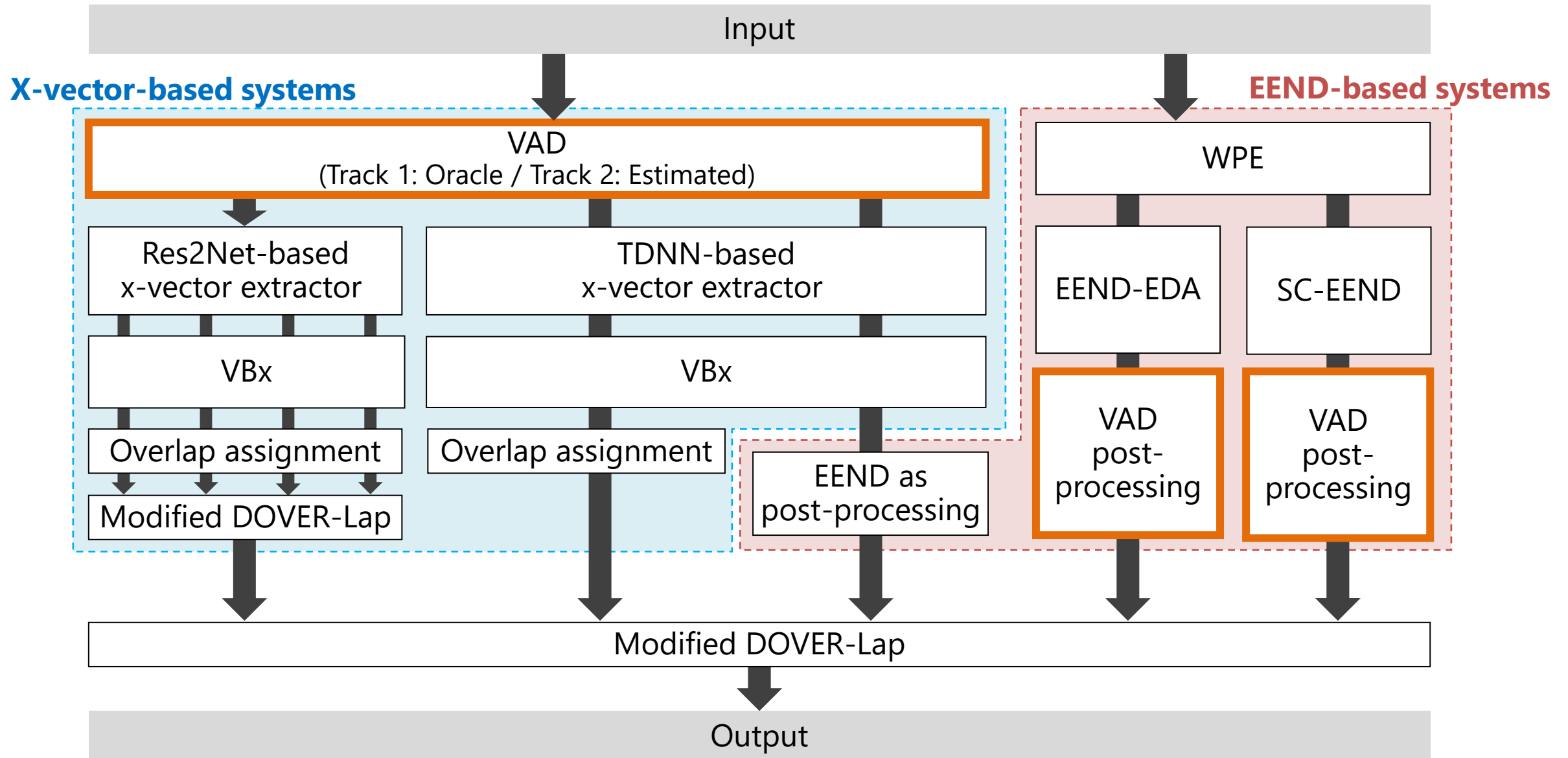
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<sup>2</sup>  **JOHNS HOPKINS**  
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# Overview of Hitachi-JHU System

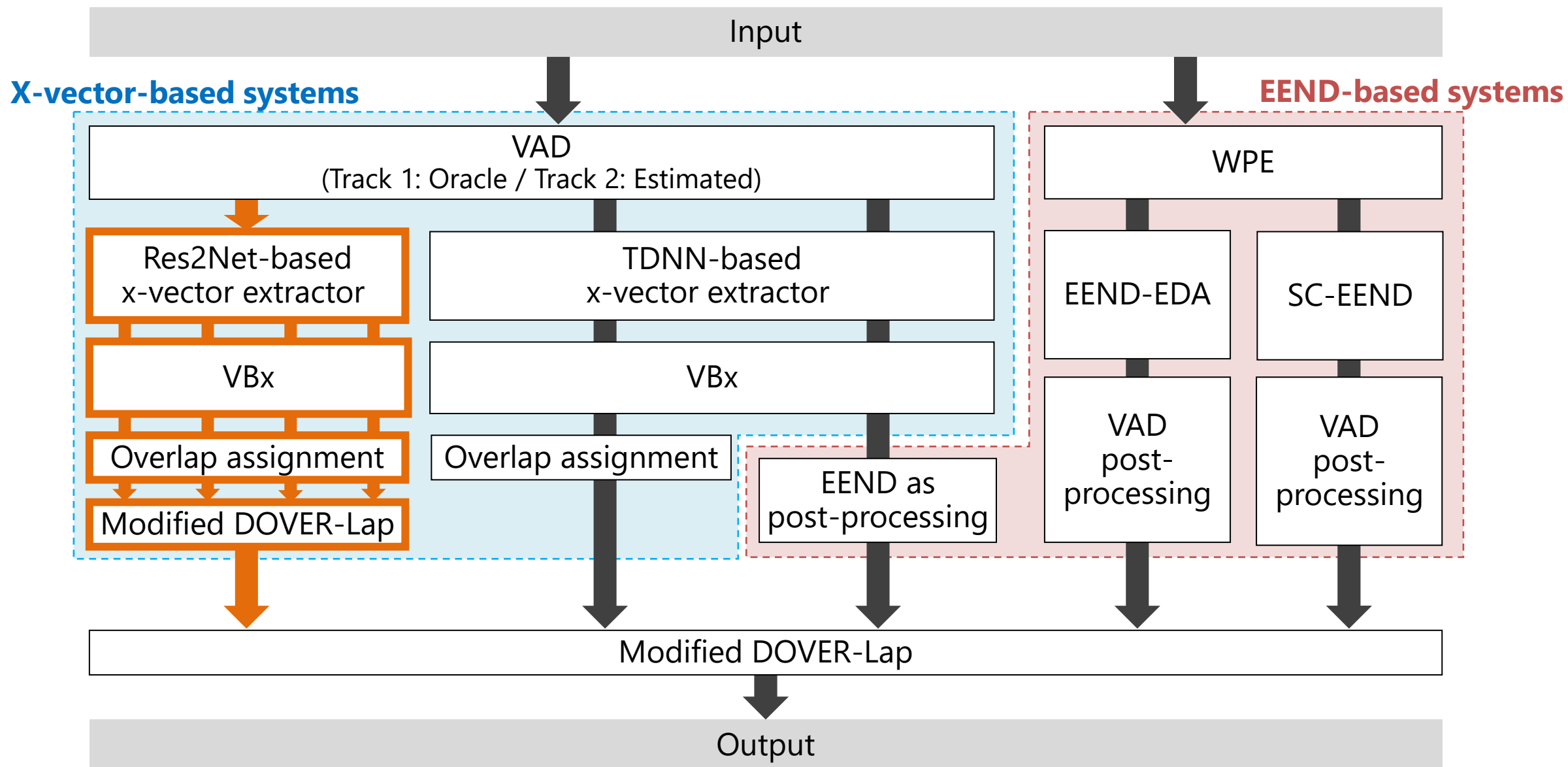




- Method: Posterior average of two models
  - **SincNet-based VAD** [Lavechin+, INTERSPEECH'20]
    - SincNet followed by BiLSTM layers and a fully-connected layer
    - Trained on DIHARD III DEV for 300 epochs
  - **TDNN-based VAD**
    - Five-layer TDNN using statistics pooling for long-context
    - Trained on DIHARD III DEV for 10 epochs with data augmentation using MUSAN corpus and simulated RIRs
  
- Results on DIHARD III DEV

Method	False alarm (%)	Missed (%)
SincNet-based VAD	2.78	2.51
TDNN-based VAD	2.85	2.80
Posterior average	2.58	2.55

# (1) Res2Net-Based System



- X-vector extractors trained on VoxCeleb

Model	# of layers	Normalization	Compression	SpecAugment
Res2Net-BN	23	BatchNorm	$\ln x$	
Res2Net-UN	23	UtteranceNorm	$\log_{10} x$	
Res2Net-BN-Large	50	BatchNorm	$\ln x$	
Res2Net-UN-Large	50	UtteranceNorm	$\log_{10} x$	✓

- VBx clustering

- Initial clustering using AHC with PLDA, the interpolation of VoxCeleb PLDA and DIHARD III PLDA
- Then, Bayesian HMM clustering with the LDA

- Overlap assignment

- The same model as SincNet-based was trained to detect overlap using DIHARD III DEV
- Assigned the closest other speaker in the time axis for each detected frame

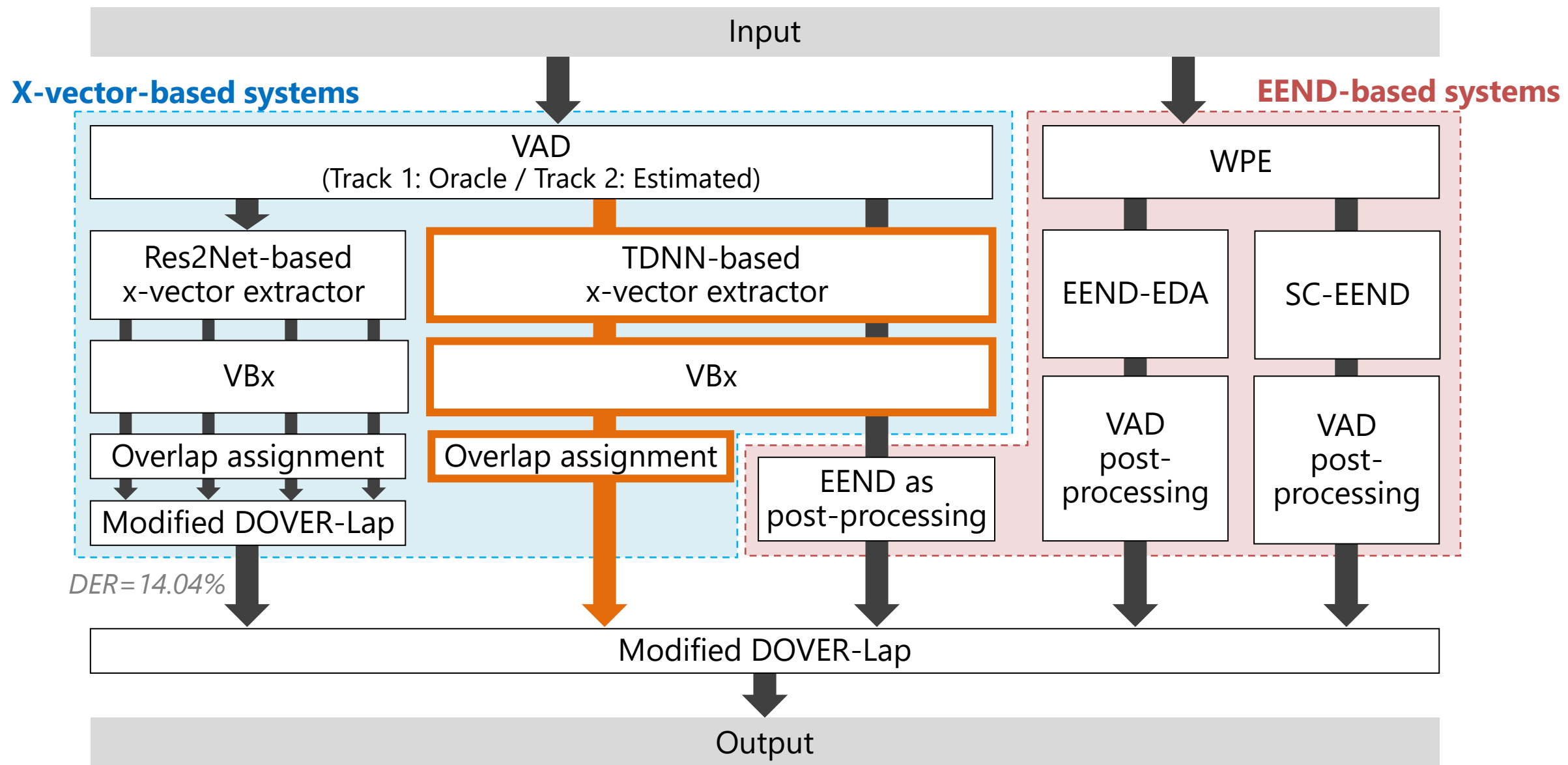
- Modified DOVER-Lap to combine the results from the four models

# (1) Res2Net-Based System: Results

DERs/JERs (%) of DIHARD III Track 1 DEV

	Res2Net-BN	Res2Net-UN	Res2Net-BN-Large	Res2Net-UN-Large
	<ul style="list-style-type: none"> <li>• 23 layers</li> <li>• BatchNorm</li> <li>• <math>\ln x</math></li> </ul>	<ul style="list-style-type: none"> <li>• 23 layers</li> <li>• UtteranceNorm</li> <li>• <math>\log_{10} x</math></li> </ul>	<ul style="list-style-type: none"> <li>• 50 layers</li> <li>• BatchNorm</li> <li>• <math>\ln x</math></li> </ul>	<ul style="list-style-type: none"> <li>• 50 layers</li> <li>• UtteranceNorm</li> <li>• <math>\log_{10} x</math></li> <li>• SpecAugment</li> </ul>
X-vector + Auto-tuning Spectral Clustering	17.09 / 35.69	17.53 / 37.15	16.96 / 35.77	17.55 / 36.78
X-vector + VBx	17.24 / 37.12	17.04 / 36.17	16.85 / 35.86	17.08 / 35.95
X-vector + VBx + OvlAssign	14.89 / 35.64	14.72 / 34.65	14.56 / 34.31	14.74 / 34.40
DOVER-Lap	14.04 / 34.29			

## (2) TDNN-Based System



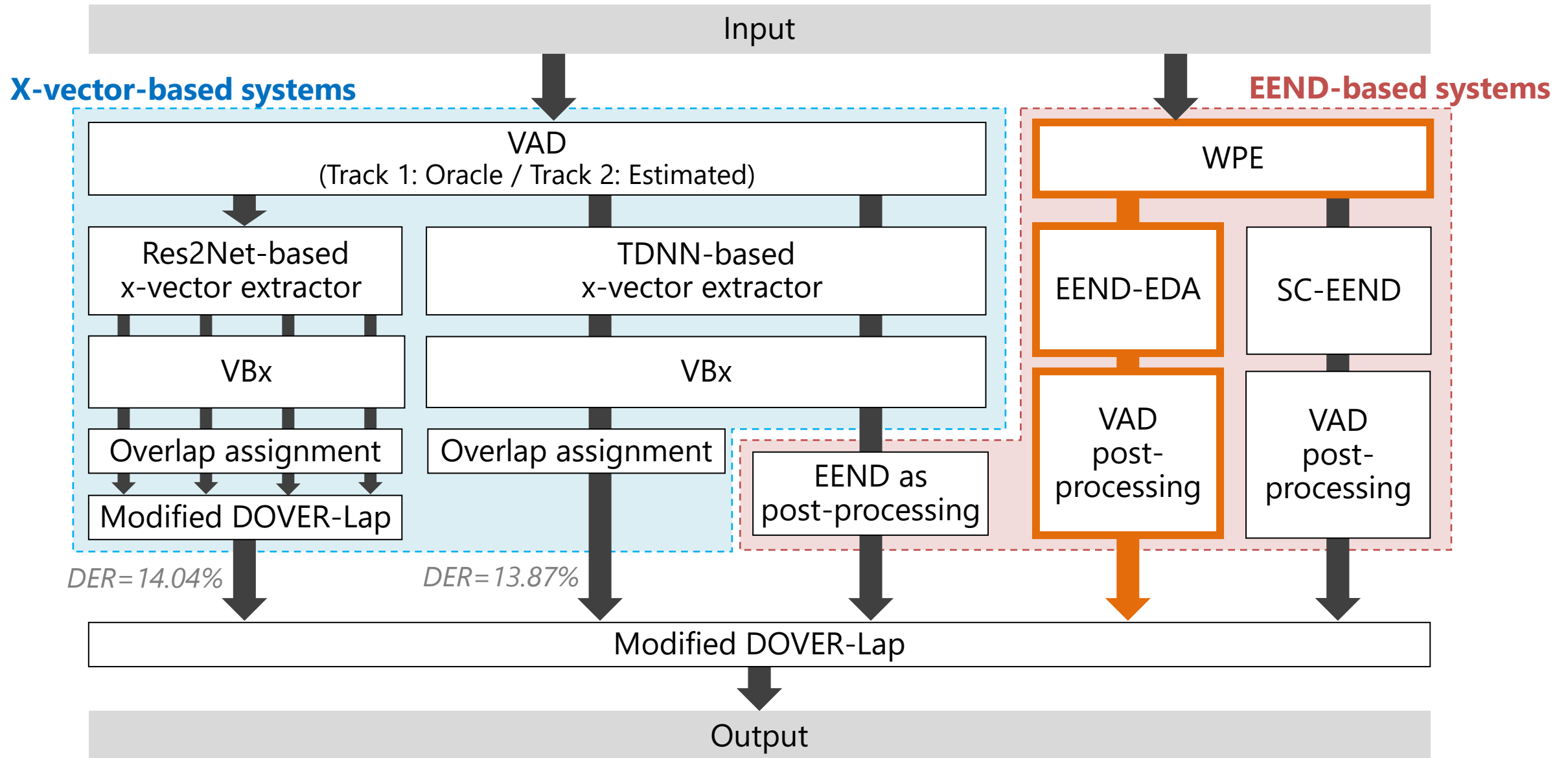


- X-vector extractor
  - TDNN-based model in the Kaldi VoxCeleb recipe [Snyder+, ICASSP'19]
    - Input: 40-dimensional filterbanks, with a 25 ms window and 15 ms shift
    - Output: 512-dimensional embeddings
- VBx clustering (Same as Res2Net-based system)
  - Initial clustering using AHC with PLDA, the interpolation of VoxCeleb PLDA and DIHARD III PLDA
  - Then, Bayesian HMM clustering with the LDA
- Overlap assignment (Same as Res2Net-based system)
  - The same model as SincNet-based was trained to detect overlap using DIHARD III DEV
  - Assigned the closest other speaker in the time axis for each detected frame

Results of DIHARD III Track 1 DEV

	DER (%)	JER (%)
X-vector + VBx	16.33	34.18
X-vector + VBx + OvlAssign	13.87	32.73

# (3) EEND-EDA-Based System



# (3) EEND-EDA-Based System

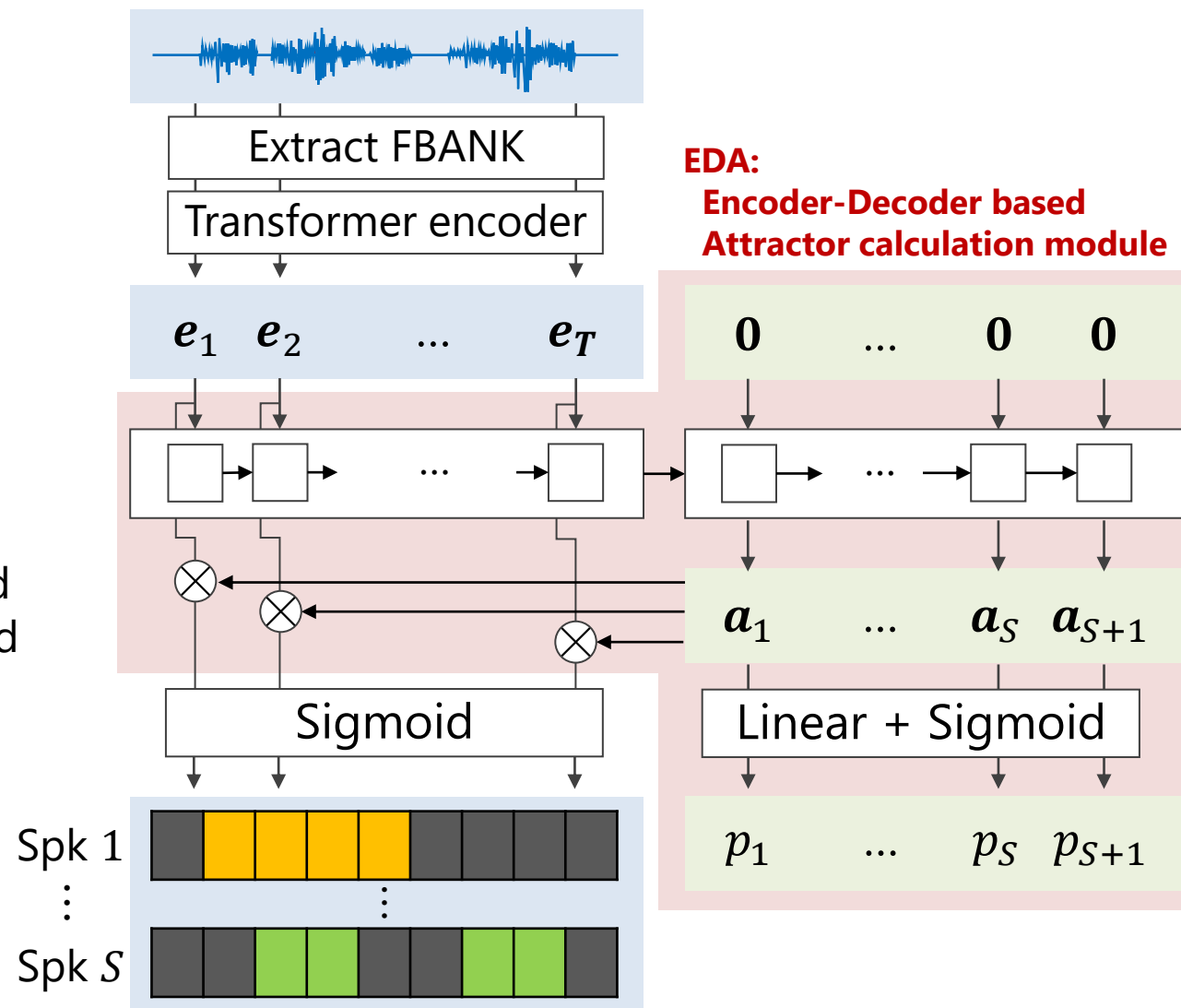
## EEND-EDA [Horiguchi+, INTERSPEECH'20]

### Method

- Calculate a flexible number of attractors from embeddings using an LSTM encoder-decoder
- Then calculate diarization results based on the dot products of the attractors and embeddings

### Training

- Train the model for 100 epochs using simulated two-speaker mixtures created from Switchboard and NIST SRE
- Finetune the model for 75 epochs using simulated mixtures, each of which contains at most 5 speakers (instead of 4 in the IS paper)
- Adapt the model for using the DIHARD III DEV



## ■ Issue 1

- EEND-EDA performs VAD and diarization simultaneously  
→ Need to incorporate with external VAD if the oracle or more accurate VAD is given

## ■ Solution 1: **VAD post-processing**

- Remove false alarms using VAD
- Recover missed speech by assigning the speaker with the highest posteriors using VAD

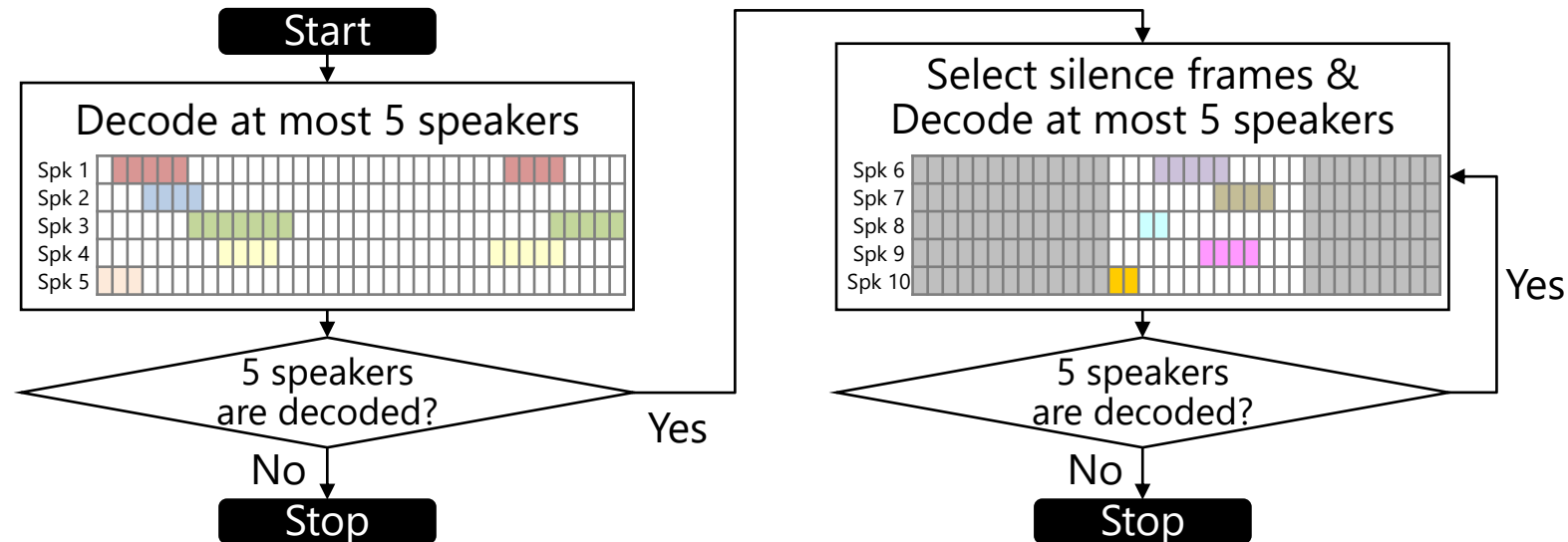
# (3) EEND-EDA-Based System: Issues and Solutions

## ■ Issue 2

- EEND-EDA cannot produce diarization results of large number of speakers (>5)

## ■ Solution 2-1: **Iterative inference**

- Decode 5 speakers repeatedly until EEND output less than 5 speakers



- Problem: The 6<sup>th</sup> speaker's speech activities are never overlapped with the 1<sup>st</sup>-5<sup>th</sup> speakers

# (3) EEND-EDA-Based System: Issues and Solutions

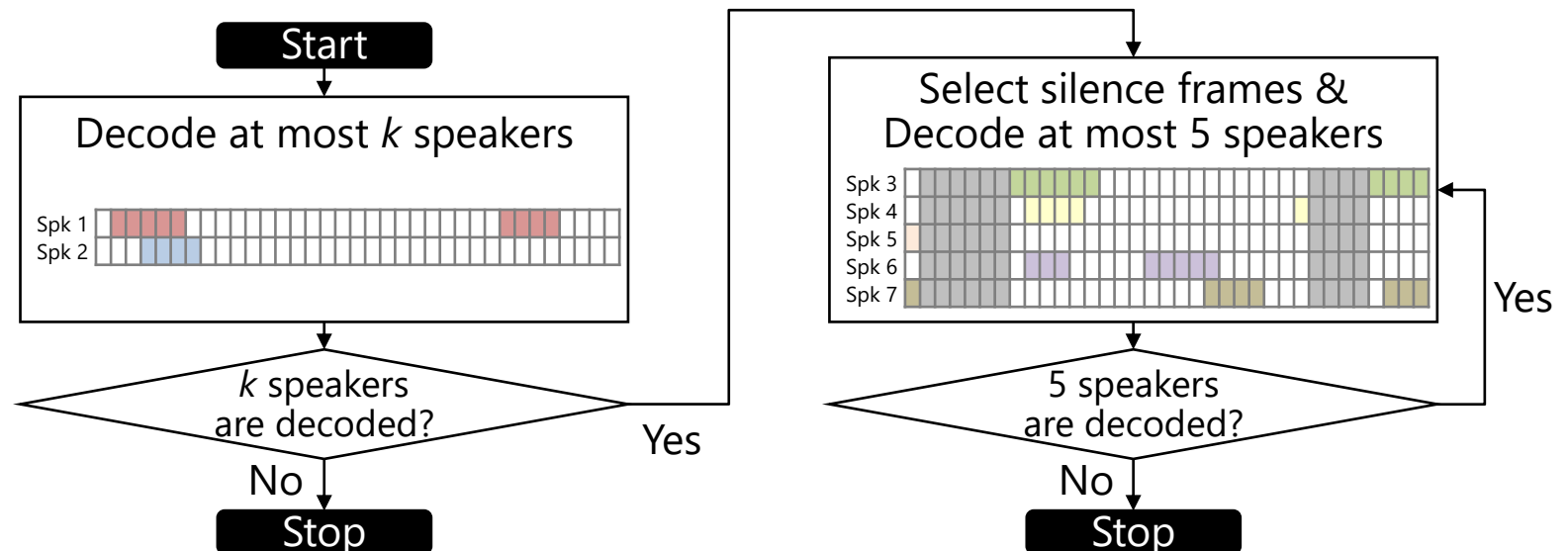
## ■ Issue 2

- EEND-EDA cannot produce diarization results of large number of speakers (>5)

## ■ Solution 2-2: Iterative inference + DOVER-Lap

- Decode at most  $k$  speakers at the first iteration ( $k=1,2,3,4,5$ )
- Decode at most 5 speakers from the second iteration
- Finally, the five estimated results are combined using DOVER-Lap

Ex)  $k=2$

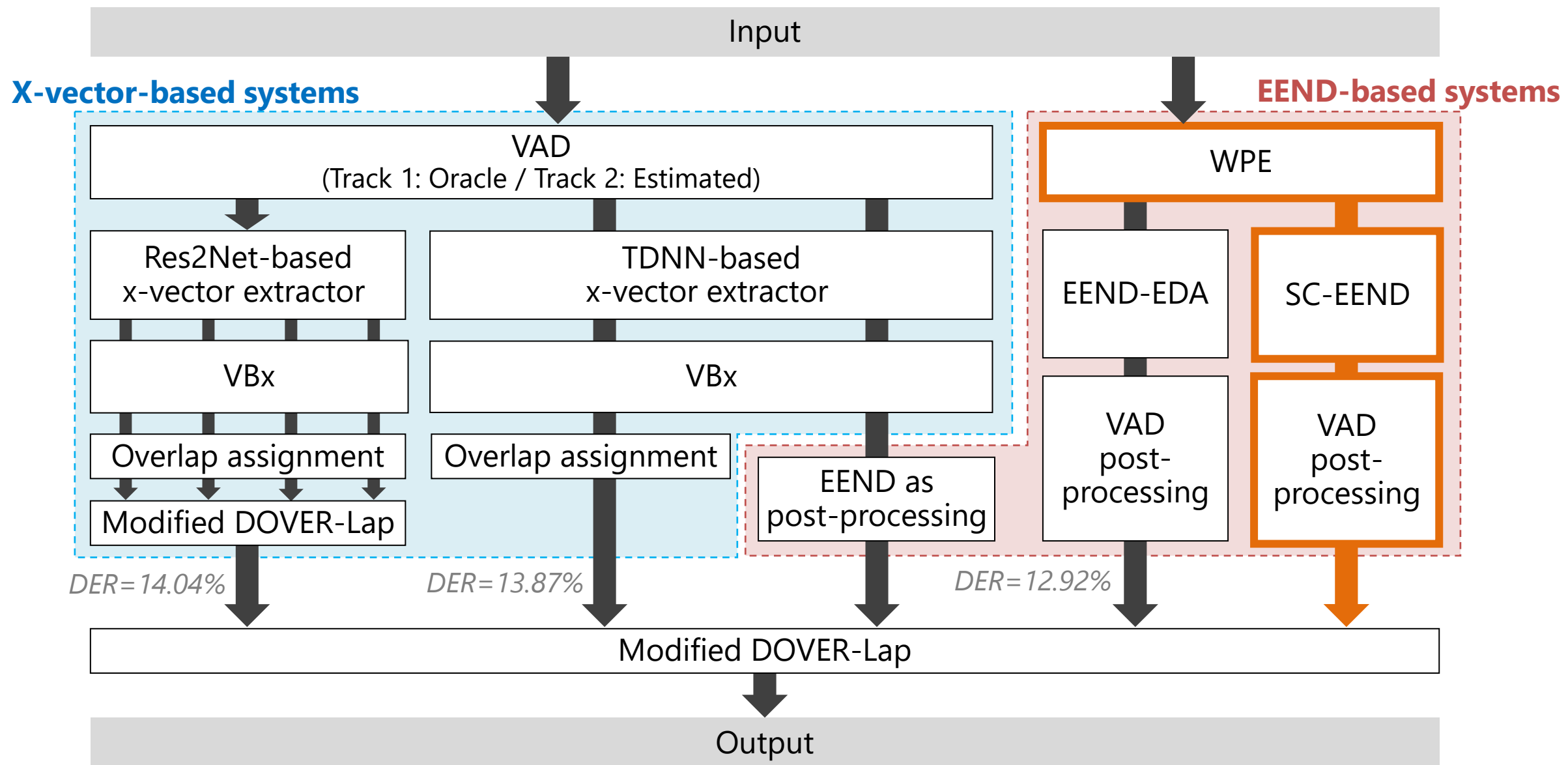


### (3) EEND-EDA-Based System: Results of Track 1 DEV

Results of DIHARD III Track 1 DEV

Model	Remove false alarms	Recover missed speech	Iterative inference	Iterative inference +DOVER-Lap	DER	JER
4-speaker model [Horiguchi+, INTERSPEECH'20]					21.06	41.63
					18.77	38.98
	✓				17.33	37.92
5-speaker model	✓	✓			13.08	35.38
	✓	✓	✓		13.35	34.19
	✓	✓		✓	<b>12.92</b>	<b>33.85</b>

# (4) SC-EEND-Based System





# (4) SC-EEND-Based System

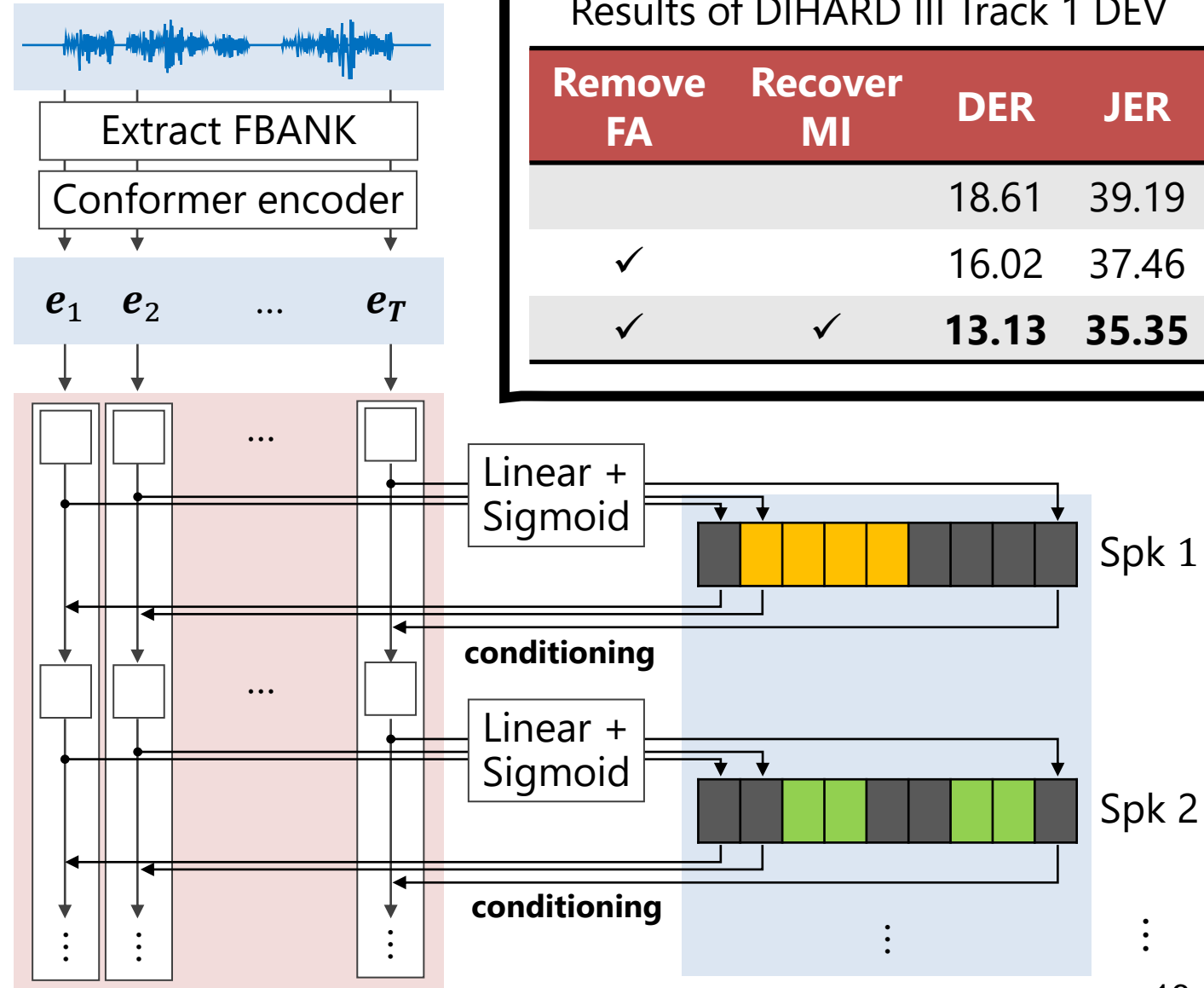
## Speaker-wise conditional EEND (SC-EEND) [Fujita+, arXiv'20]

### Method

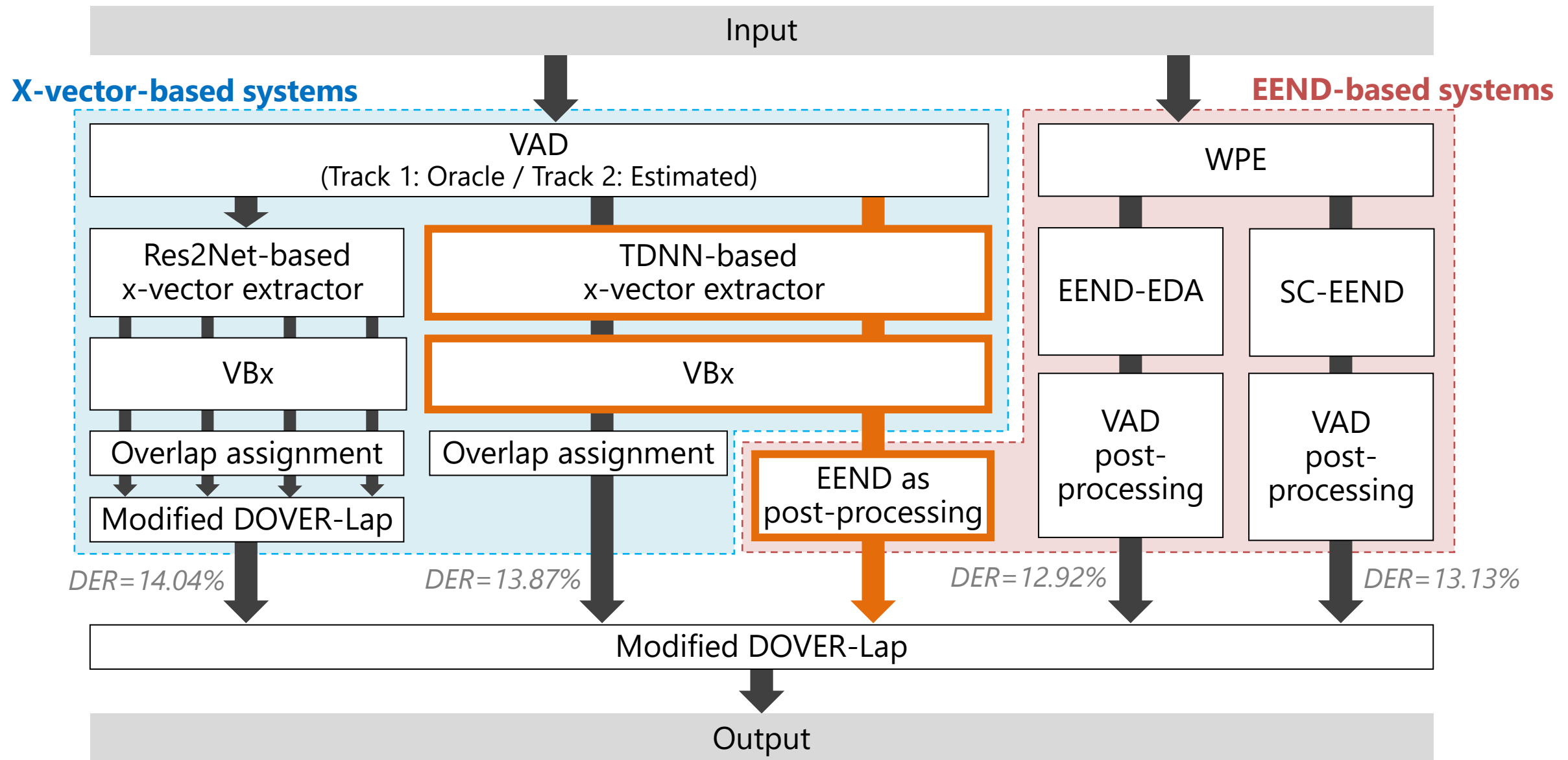
- Estimate each speaker's speech activities sequentially, conditioned on previously estimated speaker's speech activities
- We replaced Transformer encoders with Conformer [Gulati+, INTERSPEECH'20] encoders
- VAD post-processing was also applied as in SA-EEND-based system

### Training

- Train the model for 200 epochs using simulated mixtures, each of which contains at most 4 speakers
- Adapt the model for another 100 epochs using the DIHARD III DEV set



# (5) TDNN-Based X-vectors + EEND as Post-Processing



## EEND as Post-Processing [Horiguchi+, arXiv'20]

### ■ Motivation

- X-vector-based system
  - ✓ can deal with large number of speakers
  - ✗ has difficulty on overlap processing
- EEND-based system
  - ✓ Can handle overlapping speech
  - ✗ cannot deal with large number of speakers

### ■ Method

- Update diarization results of x-vector-based system using EEND by applying the following steps iteratively
  1. Frame selection to contain only two speakers
  2. Overlap estimation using an EEND model

# (5) TDNN-Based X-vectors + EEND as Post-Processing

## Initial results (from x-vector clustering)

frame index	1	2	3	4	5	6	7	8	9	10	11	12
Spk 1		■	■									
Spk 2				■	■	■						
Spk 3	■						■	■	■			■

Processing order

Spks 2&3 (#Frames =  $|1,4,5,6,7,8,9,10,11,12| = 10$  )



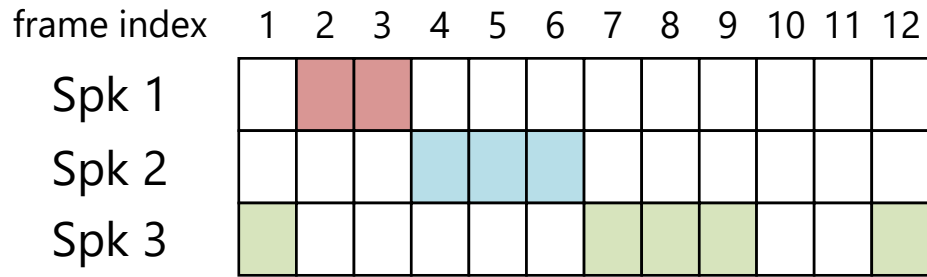
Spks 1&3 (#Frames =  $|1,2,3,7,8,9,10,11,12| = 9$  )



Spks 1&2 (#Frames =  $|2,3,4,5,6,10,11| = 7$  )

# (5) TDNN-Based X-vectors + EEND as Post-Processing

## Initial results (from x-vector clustering)



Processing order

**Spks 2&3**



Spks 1&3



Spks 1&2

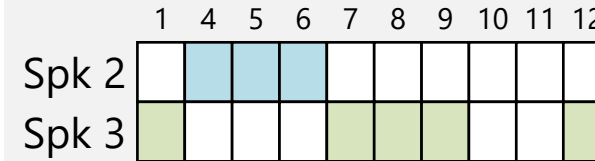
Frame selection

Update results

## Update #1 (Spks 2&3)

Selected frames not containing Spk 1  
{1,4,5,6,7,8,9,10,11,12}

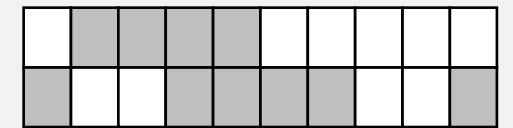
Corresponding results



Corresponding acoustic features

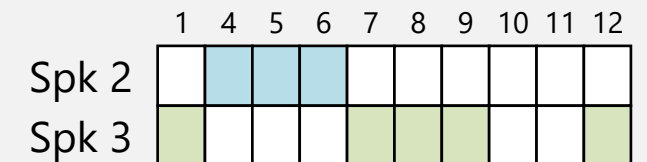
$x_1$   $x_4$   $x_5$   $x_6$   $x_7$   $x_8$   $x_9$   $x_{10}$   $x_{11}$   $x_{12}$

EEND-EDA



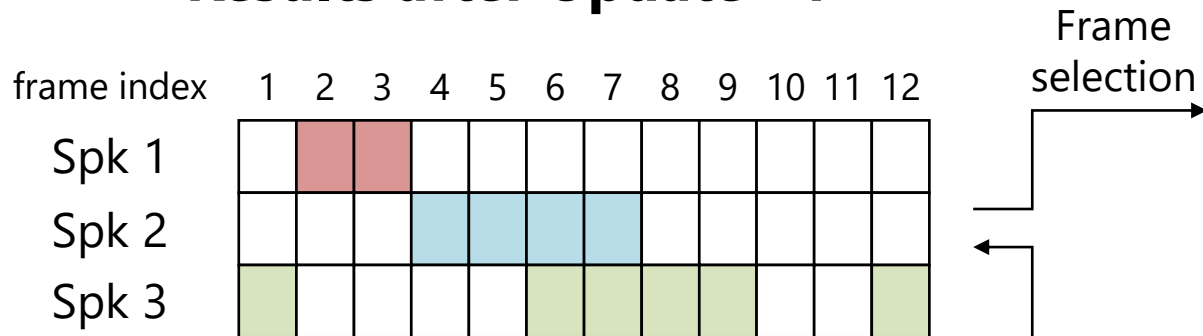
Solve permutation

New results for the selected frames



# (5) TDNN-Based X-vectors + EEND as Post-Processing

## Results after Update #1



Processing order

Spks 2&3  
↓  
**Spks 1&3**  
↓  
Spks 1&2

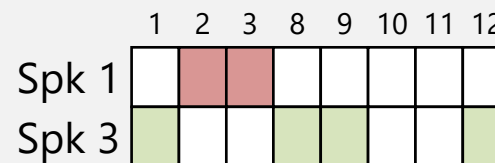
Frame selection

Update results

## Update #2 (Spks 1&3)

Selected frames not containing Spk 2  
{1,2,3,8,9,10,11,12}

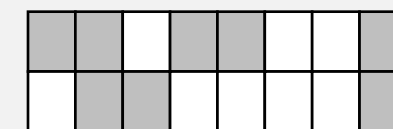
Corresponding results



Corresponding acoustic features

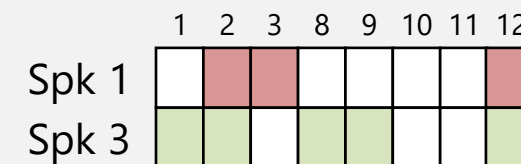
$x_1$   $x_2$   $x_3$   $x_8$   $x_9$   $x_{10}$   $x_{11}$   $x_{12}$

↓ EEND-EDA



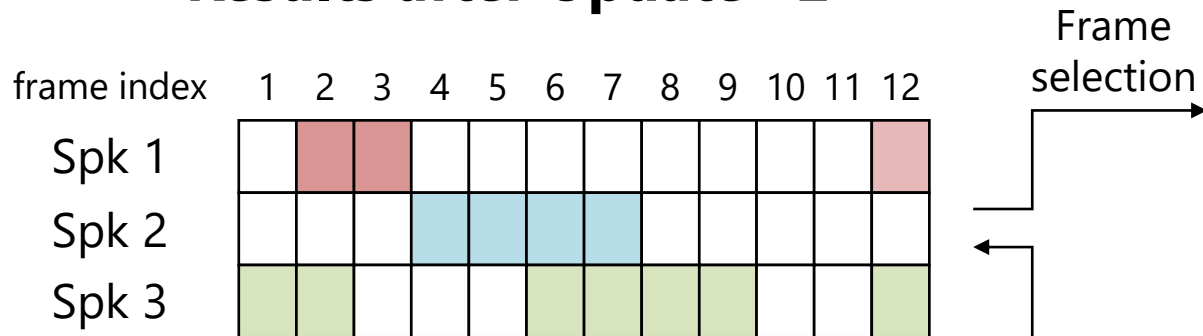
Solve permutation

New results for the selected frames



# (5) TDNN-Based X-vectors + EEND as Post-Processing

## Results after Update #2



Processing order  
 Spks 2&3  
 ↓  
 Spks 1&3  
 ↓  
**Spks 1&2**

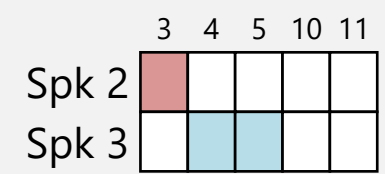
Frame selection →

← Update results

## Update #3 (Spks 1&2)

Selected frames not containing Spk 3  
 {3,4,5,10,11}

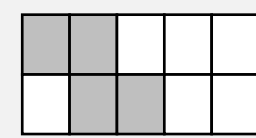
Corresponding results



Corresponding acoustic features

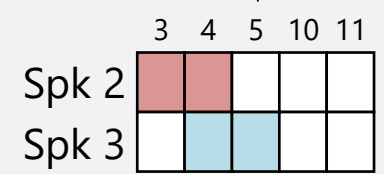
$x_3$   $x_4$   $x_5$   $x_{10}$   $x_{11}$

↓ EEND-EDA



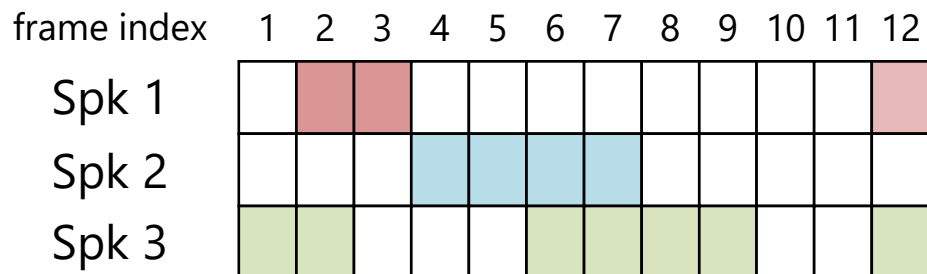
Solve permutation

New results for the selected frames



# (5) TDNN-Based X-vectors + EEND as Post-Processing

## Final results (Results after Update #3)



Processing order

Spks 2&3



Spks 1&3



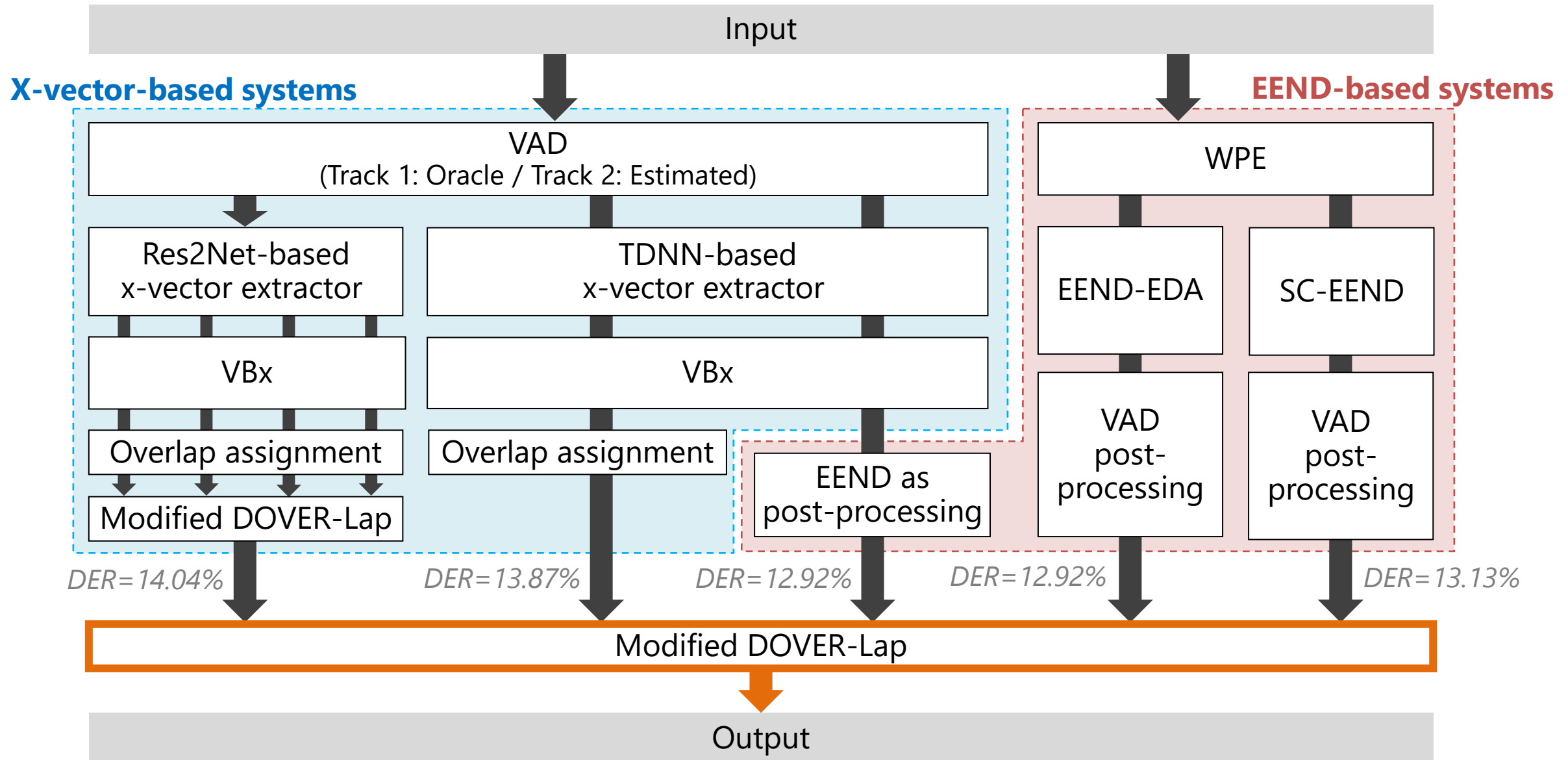
Spks 1&2

Results of DIHARD III Track 1 DEV

	DER (%)	JER (%)
X-vector + VBx	16.33	34.18
X-vector + VBx + OvlAssign (System (2))	13.87	32.73
X-vector + VBx + EENDasP	12.63	31.52



# (6) Modified DOVER-Lap



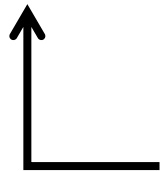
## DOVER-Lap [Raj+, SLT'21]

- Method to combine overlap-aware diarization results
- We modified the processing when multiple speakers have the same rank
  - **Original:** Assigns uniformly-divided regions for each speaker
  - **Modified:** Assigns the region for all the tied speakers without any division
- In addition, we introduced a weighting mechanism to change the importance of each system

Results of DIHARD III Track 1 DEV

Method	DER (%)	JER (%)
(1) Res2Net-based x-vector + VBx + OvlAssign	14.04	34.29
(2) TDNN-based x-vector + VBx + OvlAssign	13.87	32.73
(3) EEND-EDA	12.92	33.85
(4) SC-EEND	13.13	35.35
(5) TDNN-based x-vector + VBx + EENDasP	12.63	31.52
DOVER-Lap	12.07	32.29
Modified DOVER-Lap	10.73	31.39
Modified DOVER-Lap + manual weighting	10.68	31.01

	Track 1				Track 2			
	DEV		EVAL		DEV		EVAL	
	full	core	full	core	full	core	full	core
Baseline	19.41	20.25	19.25	20.65	21.71	22.28	25.36	27.34
(1) Res2Net-based x-vector + VBx + OvlAssign	14.04	15.18	15.81	18.47	17.26	18.39	21.37	24.64
(2) TDNN-based x-vector + VBx + OvlAssign	13.87	14.88	15.65	18.20	17.61	18.64	21.47	24.58
(3) EEND-EDA	12.92	13.95	13.95	17.28	15.90	18.50	19.04	22.84
(4) SC-EEND	13.13	16.05	15.16	19.14	16.16	19.00	20.30	24.75
(5) TDNN-based x-vector + VBx + EENDasP	12.63	14.61	13.30	15.92	15.94	18.09	18.13	21.31
<b>(6) DOVER-Lap of (1)(2)(3)(4)(5)</b>	<b>10.73</b>	<b>12.56</b>	<b>11.83</b>	<b>14.41</b>	<b>14.13</b>	<b>16.06</b>	<b>17.21</b>	<b>20.34</b>



Use (6) for self-supervised adaptation (SSA) of EEND-EDA

- Created pseudo labels for the EVAL set and redid the adaptation
- We also tried SSA of SC-EEND, but the DOVER-Lap results became bad

# DERs with Self-Supervised Adaptation of EEND-EDA

	Track 1				Track 2			
	Dev		Eval		Dev		Eval	
	full	core	full	core	full	core	full	core
Baseline	19.41	20.25	19.25	20.65	21.71	22.28	25.36	27.34
(1) Res2Net-based x-vector + VBx + OvlAssign	14.04	15.18	15.81	18.47	17.26	18.39	21.37	24.64
(2) TDNN-based x-vector + VBx + OvlAssign	13.87	14.88	15.65	18.20	17.61	18.64	21.47	24.58
(3) EEND-EDA	12.92	13.95	13.95	17.28	15.90	18.50	19.04	22.84
↓	↓	↓	↓	↓	↓	↓	↓	↓
(7) EEND-EDA (SSA)	12.95	15.69	12.74	15.86	15.03	17.52	17.81	21.31
(4) SC-EEND	13.13	16.05	15.16	19.14	16.16	19.00	20.30	24.75
(5) TDNN-based x-vector + VBx + EENDasP	12.63	14.61	13.30	15.92	15.94	18.09	18.13	21.31
↓	↓	↓	↓	↓	↓	↓	↓	↓
(8) TDNN-based x-vector + VBx + EENDasP (SSA)	12.54	14.55	12.74	15.34	15.45	17.77	17.60	20.84
(6) DOVER-Lap of (1)(2)(3)(4)(5)	10.73	12.56	11.83	14.41	14.13	16.06	17.21	20.34
↓	↓	↓	↓	↓	↓	↓	↓	↓
(9) DOVER-Lap of (1)(2)(7)(4)(8) → <b>Submitted</b>	<b>10.65</b>	<b>12.74</b>	<b>11.58</b>	<b>14.09</b>	<b>13.85</b>	<b>15.81</b>	<b>16.94</b>	<b>20.01</b>

## ■ System highlights

- SincNet-based and TDNN-based VAD
- Modified DOVER-Lap of five subsystems
  - Res2Net-based and TDNN-based x-vector systems
  - EEND-based systems with VAD post-processing and iterative inference
  - TDNN x-vectors + EEND as post-processing system
- Self-supervised adaptation of EEND

## ■ Results from the leaderboard

- Track 1
  - Full: DER=11.58 % (2<sup>nd</sup> place)
  - Core: DER=14.09 % (2<sup>nd</sup> place)
- Track 2
  - Full: DER=16.94 % (2<sup>nd</sup> place)
  - Core: DER=20.01 % (3<sup>rd</sup> place)

